12-10-04

PTO/SB/21 (09-04)

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	Application Number	10/625,005-Conf. #8435
TRANSMITTAL	Filing Date	July 23, 2003
FORM	First Named Inventor	Takayuki Ono
(to be used for all correspondence after initial filing)	Art Unit	2655
-	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	04536/019001

	CLOSURES (Check all that app	oly)			
Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC			
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Petition to Convert to a Provisional Application	Proprietary Information			
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Extension of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):			
Express Abandonment Request	Request for Refund	Return Receipt Postcard			
X Information Disclosure Statement	CD, Number of CD(s)				
Certified Copy of Priority Document(s)	Landscape Table on CD				
Reply to Missing Parts/ Incomplete Application	Remarks				
Reply to Missing Parts under 37 CFR 1.52 or 1.53					
·					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Name OSHA & MAY L.L.P.					
Signature 45,079					
Printed name Jonathan P. Osha					
December 9, 2004	Reg. No.	33,986			

in an envelope addressed to: MS Ame	te is being deposited with the U.S. Postal Service as Express Mail, Airbill No EV576121215 US andment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date
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Dated: December 9, 2004	Signature: Hall (Lisa H. Smith)

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Dated: December 9, 2004 Signature

Docket No.: 04536/019001

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Takayuki Ono

Application No.: 10/625,005

Confirmation No.: 8435

Filed: July 23, 2003

Art Unit: 2655

For:

APPARATUS AND METHOD FOR

CONTROLLING FOCUS OF LASER BEAM

IN MULTILAYER OPTICAL DISK

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material

Application No.: 10/625,005 Docket No.: 04536/019001

information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 50-0591, under Order No. 04536/019001. A duplicate copy of this paper is enclosed.

Dated: December 9, 2004

Respectfully submitted,

Jonathan P. Osha

Registration No.: 33,986 OSHA & MAY L.L.P.

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Substitute for form 1449A/B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet of 1 1

Complete if Known			
Application Number	10/625,005-Conf. #8435		
Filing Date	July 23, 2003		
First Named Inventor	Takayuki Ono		
Art Unit	2655		
Examiner Name Not Yet Assigned			
Attorney Docket Number	04536/019001		

U.S. PATENT DOCUMENTS						
TEXAMINION   CITE		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>
	BA	JP-11-039665	02-12-1999	Pioneer Electron Corp		
	BB	JP-2001-331950	11-30-2001	Matsushita Electric Ind Co Ltd		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T²	
	CA	Japanese Office Action dated September 2, 2004 with English translation (7 pages)	V
	СВ	Patent Abstracts of Japan, Publication No. 11-039665 dated February 2, 1999, 1 pg.	
	CC	Patent Abstracts of Japan, Publication No. 2001-331950 dated November 30, 2001, 1 pg.	Ī

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



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IDS (Citation) by Applicant (3 References) (1 page) Information Disclosure Statement Transmittal